# Parameters related to the minimum rank problem 

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## Minimum rank problem

- Let $G$ be a simple graph.
- Denote $\mathcal{S}^{F}(G)$ as the family of symmetric matrices over the field $F$ whose $i, j$-entry, $i \neq j$, is nonzero if $i \sim j$ and zero otherwise. (Diagonal entries are free.)
- The minimum rank of $G$ is defined as

$$
\operatorname{mr}^{F}(G)=\min \left\{\operatorname{rank}(A): A \in \mathcal{S}^{F}(G)\right\}
$$

The maximum nullity is

$$
M^{F}(G)=\max \left\{\operatorname{null}(A): A \in \mathcal{S}^{F}(G)\right\}
$$

- $M^{F}(G)+m r^{F}(G)=|V(G)|$ for any $G$ and $F$.


## Example: Paths $P_{n}$



$$
\left[\begin{array}{ccccc}
-1 & 1 & 0 & \cdots & 0 \\
1 & -2 & 1 & & \vdots \\
0 & 1 & \ddots & \ddots & 0 \\
\vdots & & \ddots & -2 & 1 \\
0 & \cdots & 0 & 1 & -1
\end{array}\right]
$$

$$
M(G) \neq 0 \text { for all } G .
$$

$$
M(G)=1 \text { iff } G \text { is a path. }
$$

[Fiedler (1969), Bento and Leal Duarte (2005)]

## Example: Complete Graphs $K_{n}$



$$
\left[\begin{array}{llll}
1 & 1 & 1 & 1 \\
1 & 1 & 1 & 1 \\
1 & 1 & 1 & 1 \\
1 & 1 & 1 & 1
\end{array}\right]
$$

$$
\begin{gathered}
M(G)=n \text { iff } G=\overline{K_{n}} . \\
M(G)=n-1 \text { iff } G=K_{n} \dot{\cup} \overline{K_{m}}, n \geq 2 .
\end{gathered}
$$

## Inverse eigenvalue problem



- We know $\operatorname{mr}(G)=2$ and $M(G)=1$ and Spec $=\{1,1 \pm \sqrt{2}\}$ is possible.
- Can Spec $=\{1,5,5\}$ ?
- No, for otherwise null $(A-5 /)=2>M(G)$
- Largest possible multiplicity $=M(G)$


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## Inverse eigenvalue problem

Theorem (K. H. Monfared, B. L. Shader 2013)
For a graph $G$ and distinct real numbers $\lambda_{1}, \lambda_{2}, \ldots, \lambda_{n}$, there is a matrix $A \in \mathcal{S}^{\mathbb{R}}(G)$ such that the spectrum of $A$ is $\lambda_{1}, \lambda_{2}, \ldots, \lambda_{n}$.

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For the case multiplicity $\neq 1$, it is still unknown, but the minimum rank problem provides a restriction.

## The landscape of minimum rank problems



## The landscape of minimum rank problems



## Zero forcing number

- A zero forcing game on a simple graph $G$ starts by setting a set $B \subseteq V(G)$ of vertices blue and the others white, and then repeatedly applies the color-change rule (CCR):
- if $y \in V(G)$ is the only white neighbor of $x \in V(G)$ and $x$ is blue, then $y$ turns blue.



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- if $y \in V(G)$ is the only white neighbor of $x \in V(G)$ and $x$ is blue, then $y$ turns blue.
- The final coloring is the set of blue vertices when no more CCR applies.
- The initial set $B$ is called a zero forcing set if its final coloring is $V(G)$.
- The zero forcing number of $G$, denoted as $Z(G)$, is the minimum cardinality of a zero forcing set on $G$.


## Example: 5-sun $\mathrm{H}_{5}$



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## Triangle number

- Let $Q$ be a pattern (a matrix with entries $\in\{0, *, ?\}$ ).
- An upper triangular subpattern is a square submatrix of $Q$ such that the lower part is all 0 , diagonals are ${ }^{*}$.
- The triangle number of $Q$, denoted as $\operatorname{tri}(Q)$, is the largest size of an upper triangular subpattern that can be found in $Q$ through row/column permutations.

$$
\left[\begin{array}{ccc}
* & 0 & 0 \\
? & * & ? \\
0 & 0 & *
\end{array}\right] \longrightarrow\left[\begin{array}{lll}
? & * & ? \\
* & 0 & 0 \\
0 & 0 & *
\end{array}\right] \longrightarrow\left[\begin{array}{ccc}
* & ? & ? \\
0 & * & 0 \\
0 & 0 & *
\end{array}\right]
$$

- If $Q$ is the pattern of a graph $G$, then $\operatorname{mr}(G) \geq \operatorname{tri}(Q)$ and $M(G) \leq n-\operatorname{tri}(Q)$.


## Triangle number of $\mathrm{H}_{5}$ ?

The pattern $Q$ below is the pattern for $H_{5}$. What is $\operatorname{tri}(Q)$ ?

$$
\left[\begin{array}{llllllllll}
? & * & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
* & ? & 0 & * & 0 & 0 & 0 & 0 & 0 & * \\
0 & 0 & ? & * & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & * & * & ? & 0 & * & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & ? & * & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & * & * & ? & 0 & * & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & ? & * & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & * & * & ? & 0 & * \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & ? & * \\
0 & * & 0 & 0 & 0 & 0 & 0 & * & * & ?
\end{array}\right]
$$

## Triangle number of $\mathrm{H}_{5}$ ?



## Triangle number of $\mathrm{H}_{5}$ ?

$$
\operatorname{tri}(Q)=7 \text { and } Z\left(H_{5}\right)=3 .
$$

2
6
8
4
10
3
9
1
5
7 $\quad\left[\begin{array}{cccccccccc}1 & 5 & 7 & 6 & 8 & 4 & 10 & 2 & 3 & 9 \\ * & 0 & 0 & 0 & 0 & * & * & ? & 0 & 0 \\ 0 & * & 0 & ? & * & * & 0 & 0 & 0 & 0 \\ 0 & 0 & * & * & ? & 0 & * & 0 & 0 & 0 \\ 0 & 0 & 0 & * & 0 & ? & 0 & * & * & 0 \\ 0 & 0 & 0 & 0 & * & 0 & ? & * & 0 & * \\ 0 & 0 & 0 & 0 & 0 & * & 0 & 0 & ? & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & * & 0 & 0 & ? \\ ? & 0 & 0 & 0 & 0 & 0 & 0 & * & 0 & 0 \\ 0 & ? & 0 & * & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & ? & 0 & * & 0 & 0 & 0 & 0 & 0\end{array}\right]$

## Zero forcing vs Triangle

- Number of forces $x_{i} \rightarrow y_{i}=$ size of triangle.
- $Z(G)=n-\operatorname{tri}(Q)$, where $Q$ is the pattern of $G$.
- $M^{F}(G) \leq Z(G)$, for any simple graph $G$, any field $F$ [AIM Group 2007].
- It doesn't matter if $\mathcal{S}^{F}(G)$ is defined to be symmetric or not.
- $M(G)=Z(G)$ when $|V(G)| \leq 7$ or $G$ is a tree, a cycle, a complete bipartite graph, ...
- $Z\left(H_{5}\right)=3$ but $M\left(H_{5}\right)=2$.


## The landscape of minimum rank problems

orthogonal representations
Maehara Conjecture: $\delta(G) \leq M_{+}(G)$

psd maximum nullity $M_{+}(G)$


## PSD maximum nullity

- Denote $\mathcal{S}^{\mathbb{F}}(G)$ as the family of symmetric matrices over $\mathbb{F}$ whose $i, j$-entry, $i \neq j$, is nonzero if $i \sim j$ and zero otherwise. (Diagonal entries are free.)
- $\mathbb{F}=\mathbb{R}$, or $\mathbb{C}$.
- $\operatorname{mr}_{+}^{\mathbb{F}}(G)=\min \left\{\operatorname{rank}(A): A \in \mathcal{S}^{\mathbb{F}}(G), A\right.$ is $\left.\operatorname{psd}\right\}$.
- $M_{+}^{\mathbb{F}}(G)=\max \left\{\operatorname{null}(A): A \in \mathcal{S}^{\mathbb{F}}(G), A\right.$ is psd $\}$.


## PSD Decomposition

- Let $A$ be an $n \times n$ (symmetric) psd matrix with $\operatorname{rank}(A)=r$.
- Then

$$
S^{*} S=\left[\begin{array}{ccc}
- & v_{1}^{*} & - \\
- & v_{2}^{*} & - \\
& \vdots & \\
- & v_{n}^{*} & -
\end{array}\right]\left[\begin{array}{cccc}
\mid & \mid & & \mid \\
v_{1} & v_{2} & \cdots & v_{n} \\
\mid & \mid & & \mid
\end{array}\right]=\left[\left\langle v_{i}, v_{j}\right\rangle\right]
$$

where $v_{i} \in \mathbb{F}^{r}$.

## Orthogonal representation (faithful)

$$
S^{*} S=\left[\begin{array}{ccc}
- & v_{1}^{*} & - \\
- & v_{2}^{*} & - \\
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\end{array}\right]=\left[\left\langle v_{i}, v_{j}\right\rangle\right]
$$

where $v_{i} \in \mathbb{F}^{r}$.

- A (faithful) orthogonal representation is a function:

$$
\begin{aligned}
V(G) & \longrightarrow \\
i & \longmapsto \mathbb{F}^{d} \\
i & v_{i}
\end{aligned} \text { such that }\left\langle v_{i}, v_{j}\right\rangle \begin{cases}\neq 0 & \text { if } i \sim j \\
=0 & \text { if } i \nsim j .\end{cases}
$$

- For a given graph $G, \min r=\min d$, so $M_{+}(G)=n-\min d$.


## delta conjecture



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## delta conjecture



## What is $\nu$ ?

- We say a matrix $A$ satisfies strong Arnol'd Hypothesis (SAH) if there is no nonzero symmetric matrix $X$ satisfying

$$
\left\{\begin{array}{l}
l \circ X=O \\
A \circ X=O \\
A X=O
\end{array}\right.
$$

where $\circ$ is the Hadamard (entrywise) product.

- $\nu(G)=\max \left\{\operatorname{null}(A): A \in \mathcal{S}^{\mathbb{R}}(G), A\right.$ is psd, SAH $\}$
- Colin de Verdière (1998) proved that if $H$ is a minor of $G$, then $\nu(H) \leq \nu(G)$.


## Colin de Verdière type parameters



## Colin de Verdière parameter $\mu$

- $\mu(G)$ is defined as the maximum nullity among matrices $M$ with the following properties:
- Generalized Laplacian: $M_{i j}\left\{\begin{array}{cll}<0 & \text { if } \quad i \sim j, i \neq j \\ =0 & \text { if } & i \nsim j, i \neq j \\ \text { free } & \text { if } i=j\end{array}\right.$.
- $M$ has exactly one negative eigenvalue.
- M satisfies SAH.
- $\mu(G)$ bridges algebraic and topological properties of a graph [Colin de Verdière, Robertson et al., Lovász et al.]:
- $\mu(G) \leq 1$ iff $G$ is a disjoint union of paths;
- $\mu(G) \leq 2$ iff $G$ is outerplanar;
- $\mu(G) \leq 3$ iff $G$ is planar;
- $\mu(G) \leq 4$ iff $G$ is linklessly embedable.
- Colin de Verdière conjectured $\chi(G) \leq \mu(G)+1$.


## Graph Complement Conjecture (GCC)

- Let $G$ be a simple graph and $\beta(G)$ a parameter of $G$. Then GCC- $\beta$ states that $\beta(G)+\beta(\bar{G}) \geq n-2$.
- Kotlov (1997) conjectured GCC- $\mu$.
- Brualdi et al. (2007) conjectured GCC-M.
- Barioli et al. (2012) conjectured GCC- $M_{+}$and GCC- $\nu$.
- ISU EGR group (2011) proved GCC- $Z$, GCC- $Z_{+}$, and GCC-tw.


## Graph Complement Conjecture



## Graph Complement Conjecture



## Graph Complement Conjecture



## Graph Complement Conjecture



## Loop graphs

- A loop graph $\mathfrak{G}$ is a graph where loops are allowed. (Each vertex has at most one loop.)
- A loop configuration $\mathfrak{G}$ of a simple graph $G$ is a loop graph obtained from $G$ by designating each vertex as having no loop or one loop. (There are $2^{n}$ possibilities.)
- $M^{F}(\mathfrak{G})=$
$\max \left\{\operatorname{null}(A): A \in \mathcal{S}^{F}(G), A_{i, i}\left\{\begin{array}{lll}\neq 0 & \text { if } i \text { has a loop; } \\ =0 & \text { if } i \text { has no loop. }\end{array}\right\}\right.$.
- $M^{F}(G)=\max _{\mathfrak{G}} M^{F}(\mathfrak{G})$, where $\mathfrak{G}$ runs over all loop configurations of $\mathfrak{G}$.


## $Z(\mathfrak{G})$ for loop graphs / $\widehat{Z}(G)$ for simple graphs

- The color-change rule for loop graphs is:
- if $y \in V(\mathfrak{G})$ is the only white neighbor of $x \in V(\mathfrak{G})$ and $x$ is blue, then $y$ turns blue. ( $x=y$ is possible.)



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- if $y \in V(\mathfrak{G})$ is the only white neighbor of $x \in V(\mathfrak{G})$ and $x$ is blue, then $y$ turns blue. ( $x=y$ is possible.)
- $Z(\mathfrak{G})$ is the smallest cardinality of a zero forcing set on $\mathfrak{G}$ using CCR for loop graphs.
- $M^{F}(\mathfrak{G}) \leq Z(\mathfrak{G})$ for all loop graphs $\mathfrak{G}$ and fields $F$ [Hogben (2010)].
- If $\mathfrak{G}$ is a loop configuration of $G$, then $Z(\mathfrak{G}) \leq Z(G)$.
- The enhanced zero forcing number is defined as $\widehat{Z}(G)=\max _{\mathfrak{G}} Z(\mathfrak{G})$, where $\mathfrak{G}$ runs over all loop configurations of $G$.


## $\mathrm{H}_{5}$ revisited



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## $H_{5}$ revisited



## $H_{5}$ revisited



## $H_{5}$ revisited



## $H_{5}$ revisited



## $H_{5}$ revisited



## $H_{5}$ revisited



## Sage Data

- $M(G)=\widehat{Z}(G)=Z(G)$ if $|V(G)| \leq 7$.
- For $n=8$, there are 7 graphs with $\widehat{Z}(G)<Z(G)$.
- For $n=9$, there are 412 graphs with $\widehat{Z}(G)<Z(G)$.
- For $n=10$, there are $18700+$ graphs with $\widehat{Z}(G)<Z(G)$.
- But $M\left(K_{3,3,3}\right)=6$ and $Z(G)=\widehat{Z}(G)=7$.

New parameters $\widehat{Z}_{o c}(G)$ and $Z_{o c}(\mathfrak{G})$


## Odd cycles

- $\widehat{Z}(G)$ shows a bound for $M(\mathfrak{G})$ leads to a bound for $M(G)$; an improvement of bounds for loop graphs leads to an improvement for simple graphs.
- Let $\mathfrak{C}_{2 k+1}^{0}$ be a loopless odd cycle, as a loop graph. Then $M\left(\mathfrak{C}_{2 k+1}^{0}\right)=0$ but $Z\left(\mathfrak{C}_{2 k+1}^{0}\right)=1$.



## Try to generalize triangle number

$$
\operatorname{rank}\left[\begin{array}{ccccc}
a_{1,1} & ? & ? & ? & ? \\
0 & a_{2,2} & ? & ? & ? \\
0 & 0 & a_{3,3} & ? & ? \\
? & ? & ? & ? & ? \\
? & ? & ? & ? & ?
\end{array}\right] \geq 3
$$

## Try to generalize triangle number

$$
\operatorname{rank}\left[\begin{array}{ccccc}
A_{1,1} & ? & ? & ? & ? \\
O & A_{2,2} & ? & ? & ? \\
O & O & A_{3,3} & ? & ? \\
? & ? & ? & ? & ? \\
? & ? & ? & ? & ?
\end{array}\right] \geq \sum_{i=1}^{3} \operatorname{rank}\left(A_{i, i}\right)
$$

## Try to generalize triangle number

$$
\operatorname{rank}\left[\begin{array}{ccccc}
A\left(\mathfrak{C}_{5}^{0}\right) & ? & ? & ? & ? \\
0 & A\left(\mathfrak{C}_{7}^{0}\right) & ? & ? & ? \\
0 & O & A\left(\mathfrak{C}_{3}^{0}\right) & ? & ? \\
? & ? & ? & ? & ? \\
? & ? & ? & ? & ?
\end{array}\right] \geq 5+7+3=15
$$

## Odd cycle zero forcing number

- The color-change rule CCR- $Z_{o c}$ for loop graphs is:
- if $y \in V(\mathfrak{G})$ is the only white neighbor of $x \in V(\mathfrak{G})$ and $x$ is blue, then $y$ turns blue ( $x=y$ is possible);
- if $W$ is the set of white vertices, and $\mathfrak{G}[W]$ has a connected component $\mathfrak{C}$ such that $\mathfrak{C} \cong \mathfrak{C}_{2 k+1}^{0}$, then all vertices in $V(\mathfrak{C})$ turn blue.



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## Odd cycle zero forcing number

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- if $W$ is the set of white vertices, and $\mathfrak{G}[W]$ has a connected component $\mathfrak{C}$ such that $\mathfrak{C} \cong \mathfrak{C}_{2 k+1}^{0}$, then all vertices in $V(\mathfrak{C})$ turn blue.
- $Z_{o c}(\mathfrak{G})$ is the smallest cardinality of a zero forcing set on $\mathfrak{G}$ using CCR- $Z_{\text {oc }}$ for loop graphs.
- $M^{F}(\mathfrak{G}) \leq Z_{o c}(\mathfrak{G})$ whenever char $F \neq 2$ and matrices are symmetric.
- The enhanced odd cycle zero forcing number is defined as $\widehat{Z}_{o c}(G)=\max _{\mathfrak{G}} Z_{o c}(\mathfrak{G})$, where $\mathfrak{G}$ runs over all loop configurations of $G$.


## Example: $K_{3,3,3}$



## Example: $K_{3,3,3}$

1,2,3 have loops
others are unknown


$$
\widehat{Z}_{o c}\left(K_{3,3,3}\right)=6 \text { and } \widehat{Z}\left(K_{3,3,3}\right)=Z\left(K_{3,3,3}\right)=7 .
$$

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1,4,7 have no loops others are unknown


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1,4,7 have no loops others are unknown


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$$

GCC- $\widehat{Z}_{o c}(G)$


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## Bound $\mu$ above

- Recall that $\mu(G)$ is the maximum nullity among matrices $M$ with the following properties:
- Generalized Laplacian: $M_{i j}\left\{\begin{array}{ccl}<0 & \text { if } & i \sim j, i \neq j \\ =0 & \text { if } & i \nsim j, i \neq j \\ \text { free } & \text { if } & i=j\end{array}\right.$.
- $M$ has exactly one negative eigenvalue.
- M satisfies SAH.
- Goldberg and Berman (2014) found $Z_{ \pm}$to bound $M\left(Q_{ \pm}\right)$.
- Butler et al. (2014) found $Z_{q}$ to bound $M_{q}(G)$.
- So $\mu(G) \leq \min \left\{Z_{ \pm}(G), Z_{1}(G)\right\}$, but can we do better?
- If such $Z_{\mu}$ exists, is GCC- $Z_{\mu}$ true or not?


## Transferring from $\nu$ to $\mu$



- A $k$-tree is formed by starting from $K_{k+1}$ and repeatedly adding one vertex joined to an existing $k$-clique.
- Sinkovic and van der Holst (2011) showed that if $G$ is a $k$-tree, then $\nu(\bar{G}) \geq n-2-k$.
- So if $G$ is a subgraph of a $k$-tree $T_{k}$ and $\nu(G) \geq k$, then GCC- $\nu$ holds.

$$
\nu(G)+\nu(\bar{G}) \geq k+n-2-k=n-2,
$$

since $\overline{T_{k}}$ is a subgraph of $\bar{G}$.

- Can we replace $\nu$ by $\mu$ ?


## GCC- $\nu$

- Barioli et al. (2012) showed that if either
- $G$ and $H$ each have an edge, or
- $G$ has an edge and $H=\overline{K_{r}}$ with $\nu(G) \leq|V(G)|-r$, then $\nu(G \vee H)=\min \{|V(G)|+\nu(H), \nu(G)+|V(H)|\} ;$

Otherwise,

$$
\nu(G \vee H)=\min \{|V(G)|+\nu(H), \nu(G)+|V(H)|\}-1 .
$$

- Can we replace $\nu$ by $\mu$ ?


## Partial answers

- $\mu(G) \leq \mu(G-v)+1$.
- $\mu(G \vee H) \leq \min \{|V(G)|+\mu(H), \mu(G)+|V(H)|\}$.
- $\min \{|V(G)|+\mu(H), \mu(G)+|V(H)|\}-1 \stackrel{?}{\leq} \mu(G \vee H)$.
- Up to $n \leq 7, \mu(G)$ can be determined.
- $\mu(G) \leq 1$ iff $G$ is a disjoint union of paths;
- $\mu(G) \leq 2$ iff $G$ is outerplanar;
- $\mu(G) \leq 3$ iff $G$ is planar;
- $\mu(G) \leq 4$ iff $G$ is linklessly embedable.
- $\mu(G) \leq n-1$, with the equality holds when $G$ is $\overline{K_{2}}$ or $K_{n}$.
- The inequality holds for graphs with $n \leq 8$.


## Keep going



## Keep going



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