

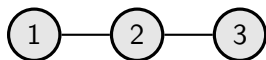
# Odd cycle zero forcing parameters and the minimum rank of graph blowups

Jephian C.-H. Lin

Department of Mathematics, Iowa State University

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Discrete Math Seminar

# Minimum rank problem (simple and loop)



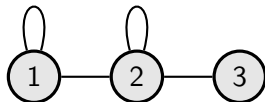
$$\begin{bmatrix} ? & * & 0 \\ * & ? & * \\ 0 & * & ? \end{bmatrix}$$

$$\begin{bmatrix} 1 & 1 & 0 \\ 1 & 2 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

$$\text{mr}(P_3) = 2$$

$$M(P_3) = 1$$

smallest possible rank  
largest possible nullity



$$\begin{bmatrix} * & * & 0 \\ * & * & * \\ 0 & * & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 1 & 0 \\ 1 & 2 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\text{mr}(\mathfrak{P}_3) = 3$$

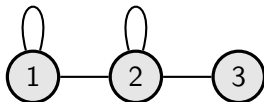
$$M(\mathfrak{P}_3) = 0$$

## Zero forcing number (simple and loop)



$$M(P_3) = 1$$

$$Z(P_3) = 1$$



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minimum number of blue vertices  
to force all vertices blue

**simple** If  $y$  is the only white neighbor of  $x$  and  $x$  is blue, then  $x \rightarrow y$ .

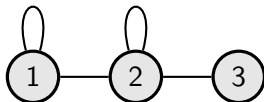
**loop** If  $y$  is the only white neighbor of  $x$  and  $x$  is blue, then  $x \rightarrow y$ . ( $x, y$  are possibly the same.)

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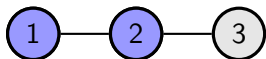
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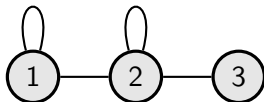
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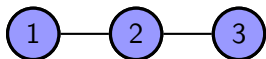
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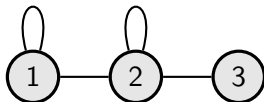
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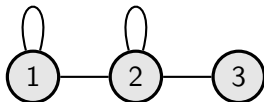
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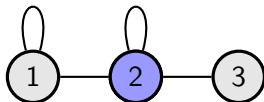
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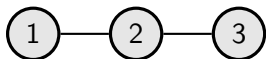
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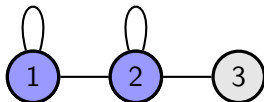


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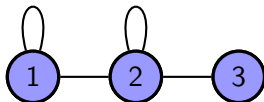
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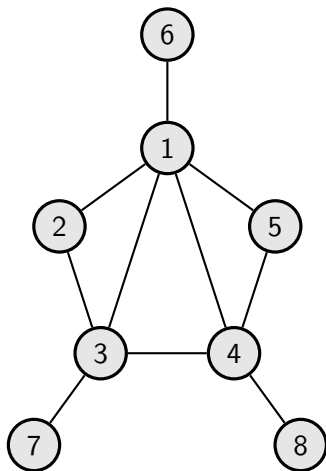
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## Max Nullity vs Zero Forcing

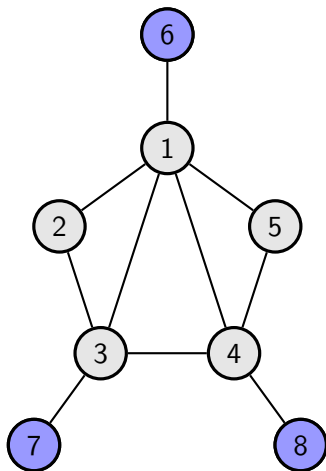
- ▶  $M(G) \leq Z(G)$  for all simple graph [AIM 2008];  
 $M(\mathcal{G}) \leq Z(\mathcal{G})$  for all loop graph [Hogben 2010].
- ▶  $M(G) = Z(G)$  whenever  $|V(G)| \leq 7$  or  $G$  is a tree, a cycle;  
not always true for outerplanar graphs.
- ▶  $M(\mathcal{G}) = Z(\mathcal{G})$  whenever  $|V(\mathcal{G})| \leq 2$  or  $\mathcal{G}$  is a loop  
configuration of a tree; not always true for **cycles** or  
outerplanar graphs.

## An example with $M(G) \neq Z(G)$



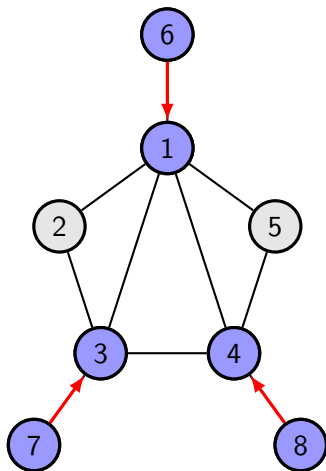
$$\begin{aligned}Z(G) &= 3 \\ \widehat{Z}(G) &= \max_{\mathcal{G}} Z(\mathcal{G}) = 2 \\ M(G) &= 2\end{aligned}$$

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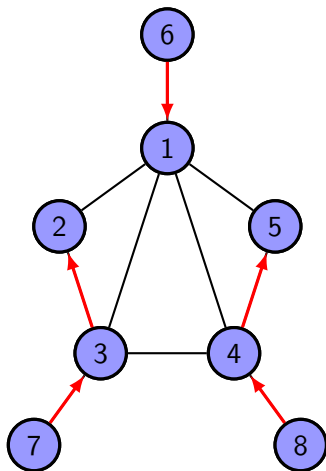
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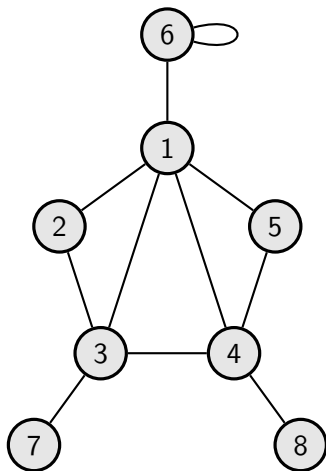
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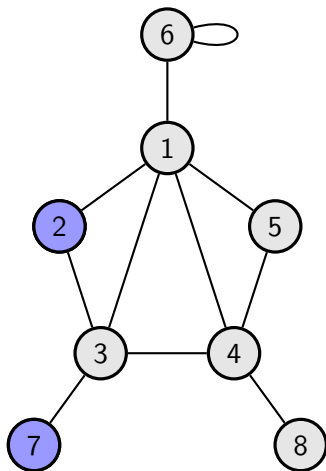


6 has a loop  
others **unknown**

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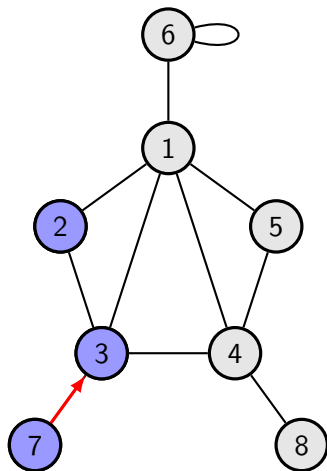
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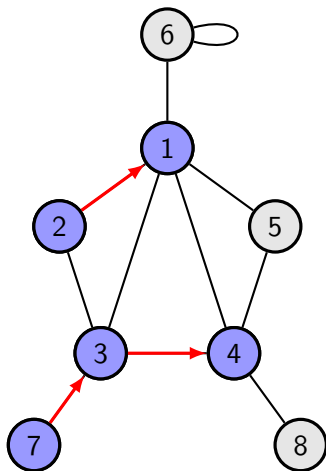
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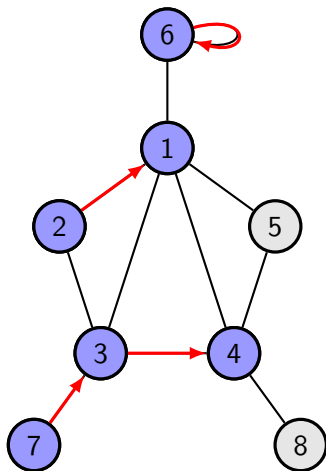
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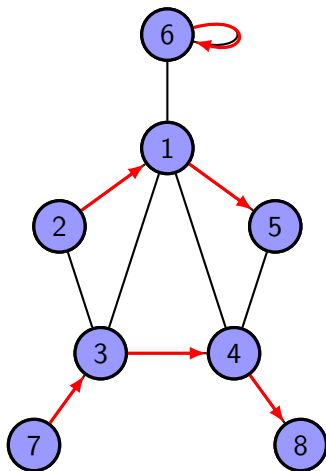
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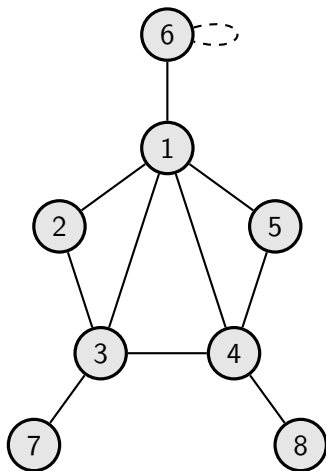
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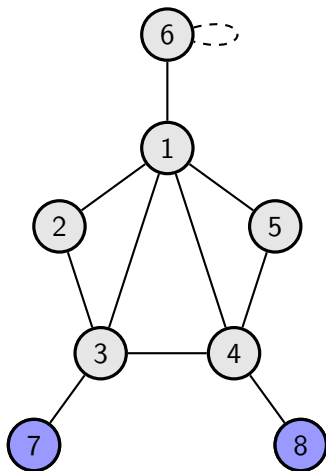
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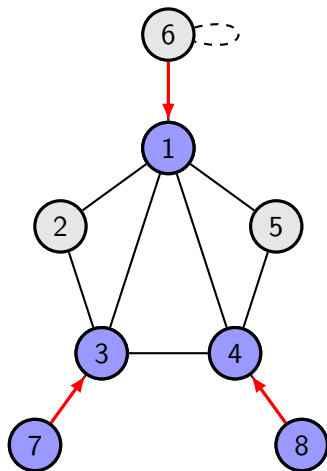
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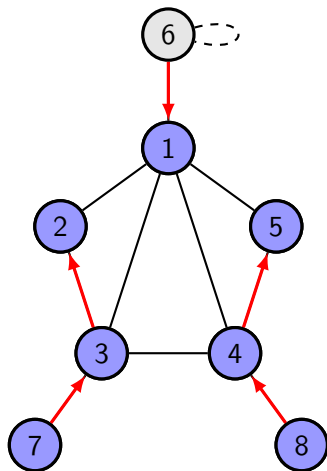


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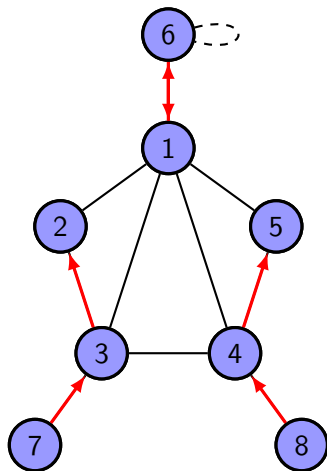
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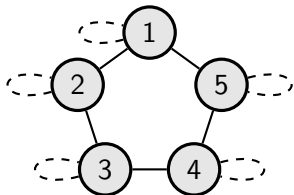


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## An example with $M(\mathfrak{G}) \neq Z(\mathfrak{G})$

- ▶  $M(\mathfrak{C}_n) = Z(\mathfrak{C}_n)$  if  $\mathfrak{C}_n$  is not a **loopless odd cycle**;  
 $M(\mathfrak{C}_{2k+1}^0) = 0$  but  $Z(\mathfrak{C}_{2k+1}^0) = 1$ .
- ▶  $M^{\mathbb{R}}(\mathfrak{C}_{2k+1}^0) = 0$  but  $M^{\mathbb{F}_2}(\mathfrak{C}_{2k+1}^0) = 1$ .



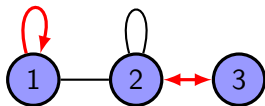
$$\det \begin{bmatrix} 0 & e_1 & & & e_{2k+1} \\ e_1 & 0 & e_2 & & \\ & e_2 & \ddots & \ddots & \\ & & \ddots & \ddots & \\ e_{2k+1} & & & e_{2k} & 0 \end{bmatrix}$$

$$= 2 \prod_{i=1}^{2k+1} e_i$$

## Max Nullity vs Zero Forcing Revisit

- ▶  $M(G) \leq Z(G)$  for all simple graph [AIM 2008];  
 $M(\mathcal{G}) \leq Z(\mathcal{G})$  for all loop graph [Hogben 2010].
- ▶ For simple graphs with  $|V(G)| \leq 7$ ,  $M(G) = Z(G)$ .
- ▶ For the simple graph  $K_{3,3,3}$ ,  $M(K_{3,3,3}) = 6$  and  $\widehat{Z}(K_{3,3,3}) = 7$ .
- ▶ For the loop graph  $\mathcal{C}_3^0$ ,  $M(\mathcal{C}_3^0) = 0$  and  $Z(\mathcal{C}_3^0) = 1$ .
- ▶ The fact  $M^F(\mathcal{C}_{2k+1}^0) = 0$  is true whenever the considered matrix is **symmetric** and **char**  $\neq 2$ .

# Proof of $M \leq Z$



$$\begin{array}{c} 1 \\ 2 \\ 3 \end{array} \begin{array}{ccc} 1 & 2 & 3 \\ \left[ \begin{array}{ccc} * & * & 0 \\ * & * & * \\ 0 & * & 0 \end{array} \right] \end{array}$$

$$\begin{array}{c} 3 \rightarrow 2 \\ 1 \rightarrow 1 \\ 2 \rightarrow 3 \end{array} \left| \begin{array}{c} \downarrow \\ \downarrow \\ \downarrow \end{array} \right.$$

$$\begin{array}{c} 2 \\ 1 \\ 3 \end{array} \begin{array}{ccc} 3 & 1 & 2 \\ \left[ \begin{array}{ccc} * & * & * \\ 0 & * & * \\ 0 & 0 & * \end{array} \right] \end{array}$$

## Try to generalize the “triangle”

$$\text{rank} \begin{bmatrix} a_{1,1} & ? & ? & ? & ? \\ 0 & a_{2,2} & ? & ? & ? \\ 0 & 0 & a_{3,3} & ? & ? \\ ? & ? & ? & ? & ? \\ ? & ? & ? & ? & ? \end{bmatrix} \geq 3$$

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$$\text{rank} \begin{bmatrix} A_{1,1} & ? & ? & ? & ? \\ O & A_{2,2} & ? & ? & ? \\ O & O & A_{3,3} & ? & ? \\ ? & ? & ? & ? & ? \\ ? & ? & ? & ? & ? \end{bmatrix} \geq \sum_{i=1}^3 \text{rank}(A_{i,i})$$

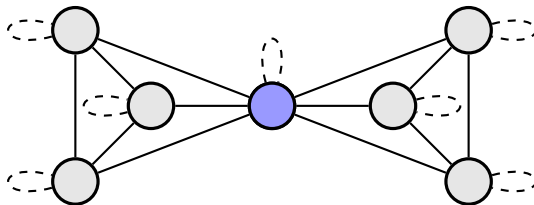
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$$\text{rank} \begin{bmatrix} A(\mathfrak{C}_5^0) & ? & ? & ? & ? \\ O & A(\mathfrak{C}_7^0) & ? & ? & ? \\ O & O & A(\mathfrak{C}_3^0) & ? & ? \\ ? & ? & ? & ? & ? \\ ? & ? & ? & ? & ? \end{bmatrix} \geq 5 + 7 + 3 = 15$$



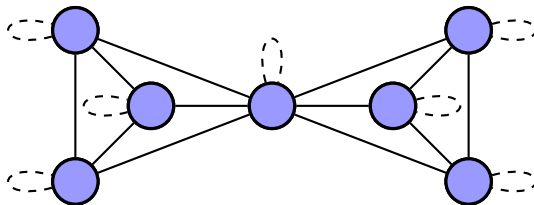
# Odd cycle zero forcing number

- ▶ The **color-change rule** for loop graphs is:
  - ▶ if  $y$  is the only white neighbor of  $x$  and  $x$  is blue, then  $x \rightarrow y$ . ( $x, y$  are possibly the same.)
  - ▶ if  $W$  is the set of white vertices, and  $\mathcal{G}[W]$  has a connected component  $\mathcal{C}$  such that  $\mathcal{C} \cong \mathcal{C}_{2k+1}^0$ , then all vertices in  $V(\mathcal{C})$  turn blue.



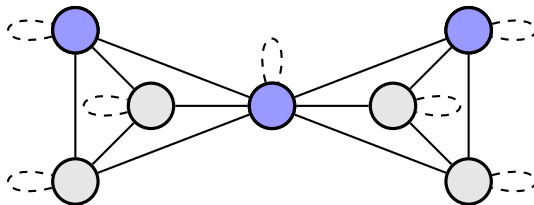
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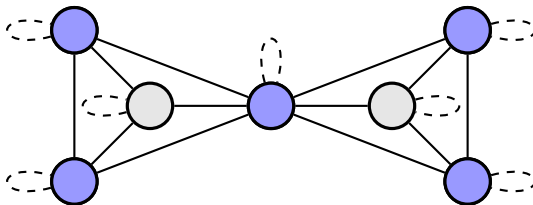
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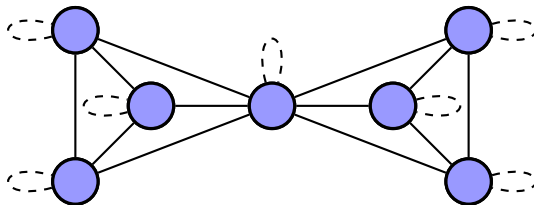
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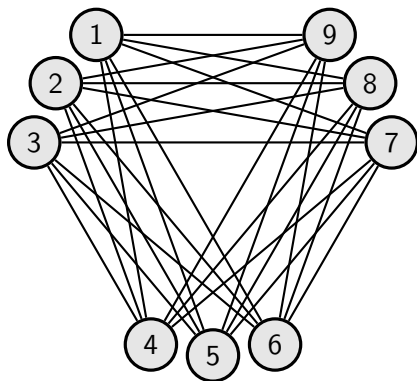
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- ▶  $Z_{oc}(\mathfrak{G})$  is the minimum number of blue vertices required to force all graph blue.
- ▶  $M^F(\mathfrak{G}) \leq Z_{oc}(\mathfrak{G})$  whenever  $\text{char } F \neq 2$  and matrices are symmetric.
- ▶ The **enhanced odd cycle zero forcing number** is defined as  $\widehat{Z}_{oc}(G) = \max_{\mathfrak{G}} Z_{oc}(\mathfrak{G})$ , where  $\mathfrak{G}$  runs over all loop configurations of  $G$ .

## Max Nullity vs Zero Forcing Revisit

- ▶  $M(G) \leq Z(G)$  for all simple graph [AIM 2008];  
 $M(\mathfrak{G}) \leq Z(\mathfrak{G})$  for all loop graph [Hogben 2010].
- ▶ For simple graphs with  $|V(G)| \leq 7$ ,  $M(G) = Z(G)$ .
- ▶ For the simple graph  $K_{3,3,3}$ ,  $M(K_{3,3,3}) = 6$  and  $\widehat{Z}(K_{3,3,3}) = 7$ .
- ▶ For the loop graph  $\mathfrak{C}_3^0$ ,  $M(\mathfrak{C}_3^0) = 0$  and  $Z(\mathfrak{C}_3^0) = 1$ .
- ▶  $M(K_{3,3,3}) = 6 = \widehat{Z}_{oc}(K_{3,3,3})$ .
- ▶  $M(\mathfrak{C}_{2k+1}^0) = 0 = Z_{oc}(\mathfrak{C}_{2k+1}^0)$ .

## Example: $K_{3,3,3}$

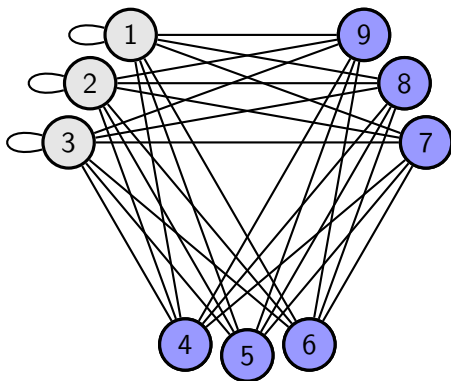


$$\widehat{Z}_{oc}(K_{3,3,3}) = 6 \text{ and } \widehat{Z}(K_{3,3,3}) = Z(K_{3,3,3}) = 7.$$



## Example: $K_{3,3,3}$

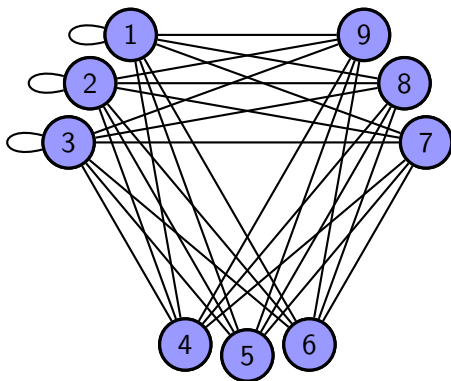
1,2,3 have loops  
others are **unknown**



$$\widehat{Z}_{oc}(K_{3,3,3}) = 6 \text{ and } \widehat{Z}(K_{3,3,3}) = Z(K_{3,3,3}) = 7.$$

## Example: $K_{3,3,3}$

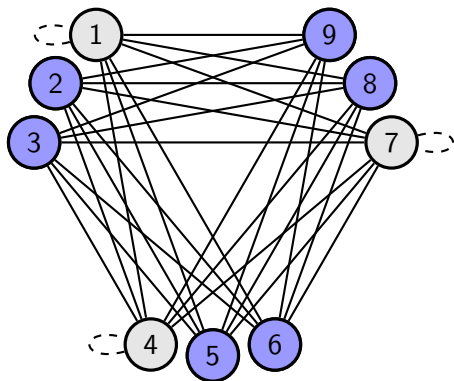
1,2,3 have loops  
others are **unknown**



$$\widehat{Z}_{oc}(K_{3,3,3}) = 6 \text{ and } \widehat{Z}(K_{3,3,3}) = Z(K_{3,3,3}) = 7.$$

## Example: $K_{3,3,3}$

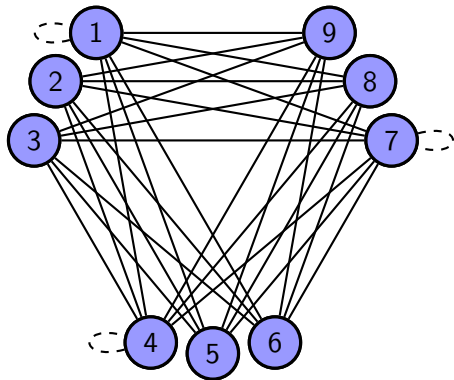
1,4,7 have no loops  
others are **unknown**



$$\widehat{Z}_{oc}(K_{3,3,3}) = 6 \text{ and } \widehat{Z}(K_{3,3,3}) = Z(K_{3,3,3}) = 7.$$

## Example: $K_{3,3,3}$

1,4,7 have no loops  
others are **unknown**



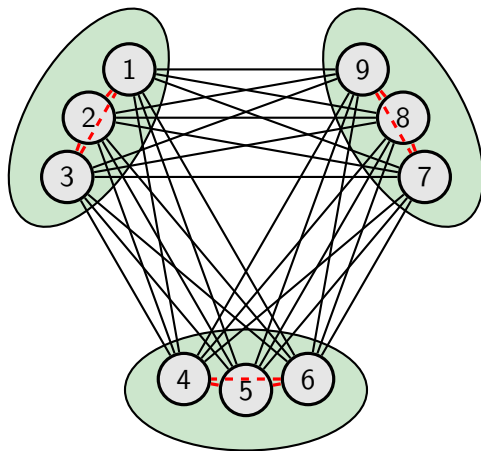
$$\widehat{Z}_{oc}(K_{3,3,3}) = 6 \text{ and } \widehat{Z}(K_{3,3,3}) = Z(K_{3,3,3}) = 7.$$

## Field matters

- ▶ Let  $A$  be the adjacency matrix.
- ▶  $\text{null}(A) = 6 = M(K_{3,3,3}) = \widehat{Z}_{oc}(K_{3,3,3})$ .
- ▶  $\text{null}^{\mathbb{F}_2}(A) = 7 = M^{\mathbb{F}_2}(K_{3,3,3}) = Z(K_{3,3,3})$ .

$$A = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

# $\mathcal{C}_3^0$ vs $K_{3,3,3}$



$$\widehat{Z}_{oc}(K_{3,3,3}) = 6 \text{ and } \widehat{Z}(K_{3,3,3}) = Z(K_{3,3,3}) = 7.$$

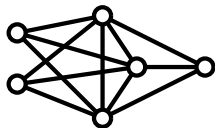
# Graph & Matrix blowups

loop graph  $\mathfrak{G}$



$\longrightarrow$   
(2, 3, 1)-blowup

simple graph  $H$



$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & 4 & 7 \\ 0 & 7 & 0 \end{bmatrix}$$

$\longrightarrow$   
(2, 3, 1)-blowup

$$\begin{bmatrix} 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 \\ 1 & 1 & 4 & 4 & 4 & 7 \\ 1 & 1 & 4 & 4 & 4 & 7 \\ 1 & 1 & 4 & 4 & 4 & 7 \\ 0 & 0 & 7 & 7 & 7 & 0 \end{bmatrix}$$

$$A \in \mathcal{S}^F(\mathfrak{G})$$

$$A' \in \mathcal{S}^F(H)$$

# Max Nullity vs Blowups

## Lemma

Let the simple graph  $H$  be the  $(t_1, t_2, \dots, t_n)$ -blowup of a loop graph  $\mathfrak{G}$ , and  $\ell = \sum_{i=1}^n (t_i - 1)$ . Then  $\text{mr}(H) \leq \text{mr}(\mathfrak{G})$  and  $M(H) \geq M(\mathfrak{G}) + \ell$ .

## Proof.

- ▶ Let  $A$  be an optimal matrix for  $\mathfrak{G}$ .
- ▶  $B$ , the  $(t_1, t_2, \dots, t_n)$ -blowup of  $A$ , is a matrix in  $\mathcal{S}(G)$ .
- ▶  $\text{rank}(B) = \text{rank}(A)$ .
- ▶  $\text{mr}(H) \leq \text{rank}(B) = \text{rank}(A) = \text{mr}(\mathfrak{G})$ .
- ▶  $|V(H)| = \sum_{i=1}^n t_i$ , so  $M(H) \geq M(\mathfrak{G}) + \ell$ .





# Graph Complement Conjecture

## Corollary

Let  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$  with  $t_i \geq 2$ . Then  $M(H) + M(\overline{H}) \geq |V(H)| - 2$ , which means Graph Complement Conjecture is true for this  $H$ .

## Proof.

- ▶ Since  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$ ,  $\overline{H} \xleftarrow{(t_1, t_2, \dots, t_n)} \overline{\mathfrak{G}}$ .
- ▶ Recall  $\ell = \sum_{i=1}^n (t_i - 1) \geq \frac{1}{2} \sum_{i=1}^n t_i = \frac{1}{2} |V(H)|$ .
- ▶  $M(H) \geq M(\mathfrak{G}) + \ell \geq \ell \geq \frac{1}{2} |V(H)|$ .
- ▶  $M(\overline{H}) \geq M(\overline{\mathfrak{G}}) + \ell \geq \ell \geq \frac{1}{2} |V(H)|$ .
- ▶  $M(H) + M(\overline{H}) \geq |V(H)| > |V(H)| - 2$ .



# Zero Forcing vs Blowups

## Lemma

Let  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$  with  $t_i \geq 2$ . Then  $\widehat{Z}(H) = Z(H) = Z(\mathfrak{G}) + \ell$ , where  $\ell = \sum_{i=1}^n (t_i - 1)$ .

## Corollary

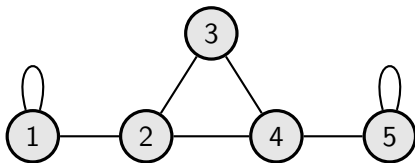
Suppose  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$  with  $t_i \geq 2$  and  $M(\mathfrak{G}) = Z(\mathfrak{G})$ . Then  $M(H) = \widehat{Z}(H) = Z(H) = M(\mathfrak{G}) + \ell$ .

## Proof.

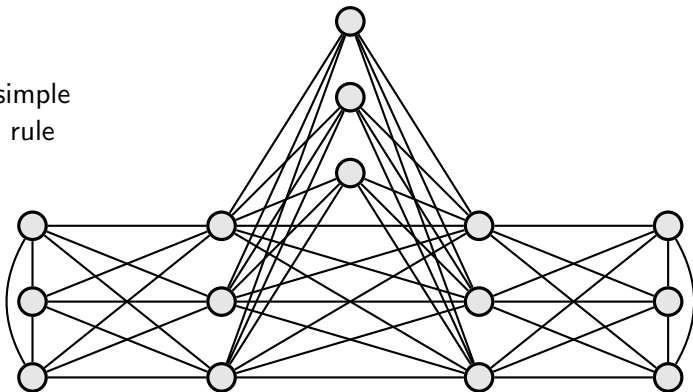
- ▶  $M(H) \leq Z(H)$ .
- ▶  $M(H) \geq M(\mathfrak{G}) + \ell = Z(\mathfrak{G}) + \ell = Z(H)$ .



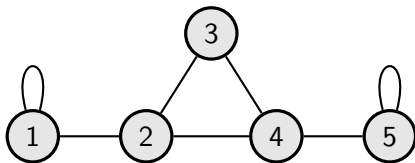
loop  
rule



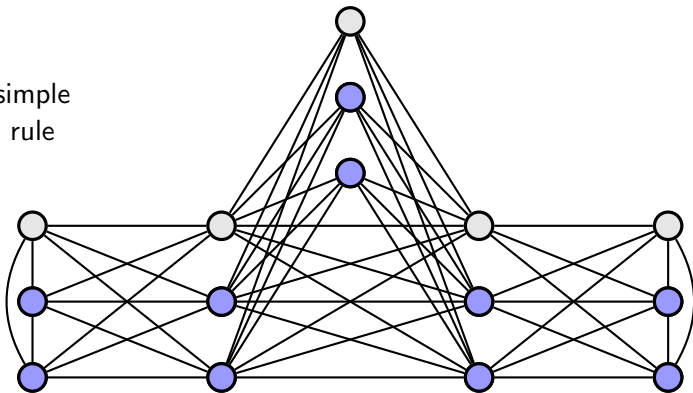
simple  
rule



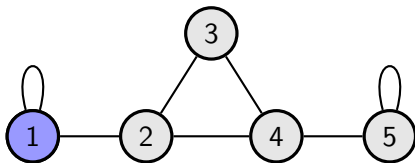
loop  
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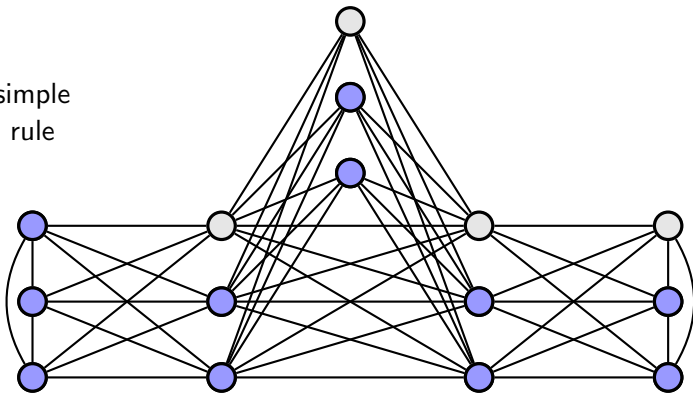
simple  
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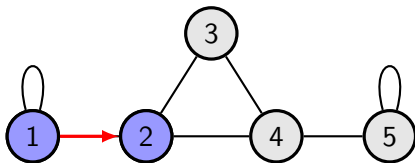
loop  
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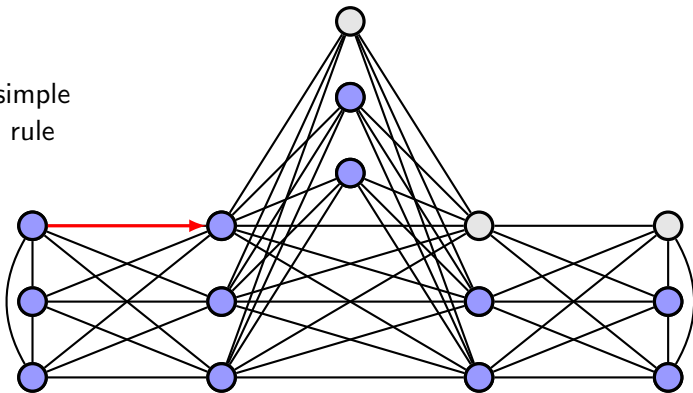
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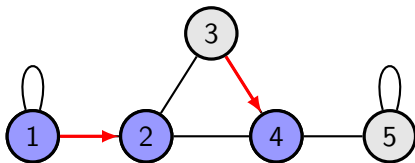
loop  
rule



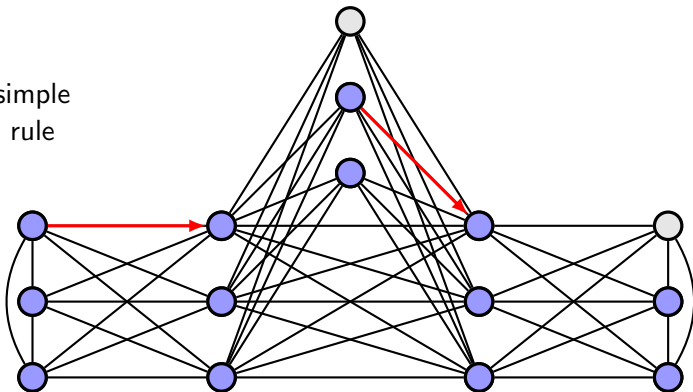
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rule



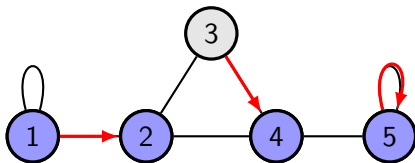
loop  
rule



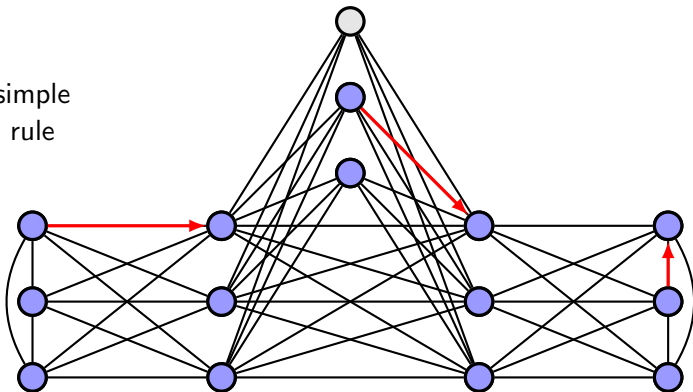
simple  
rule



loop  
rule

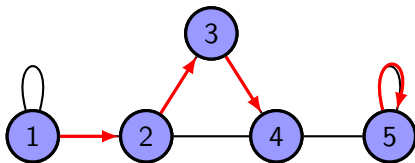


simple  
rule

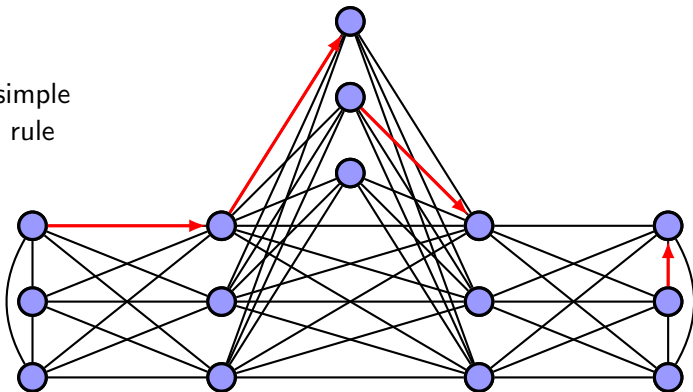




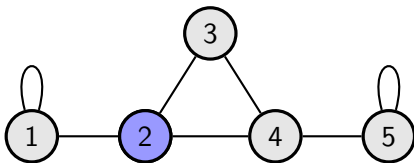
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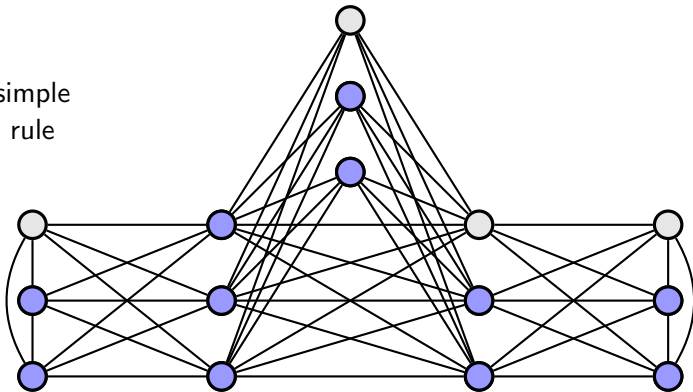
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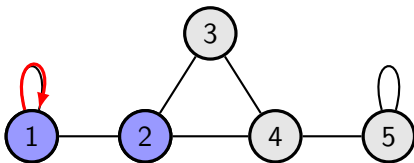
loop  
rule



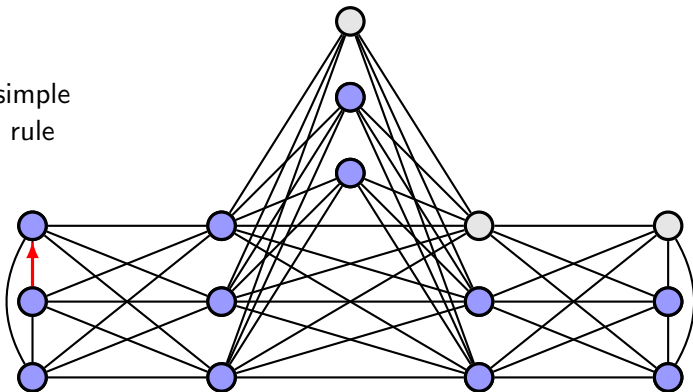
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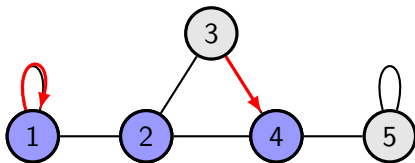
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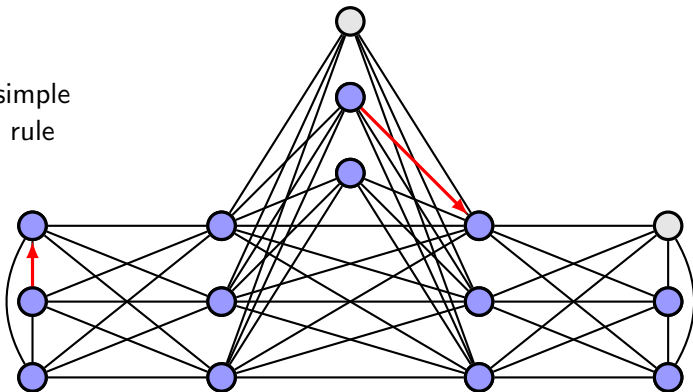
simple  
rule



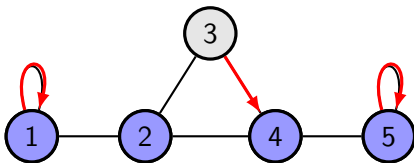
loop  
rule



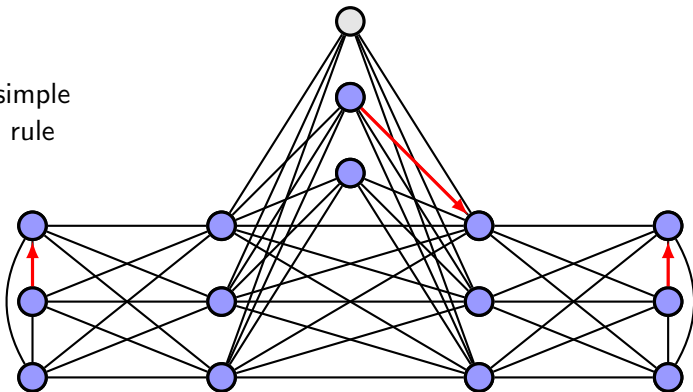
simple  
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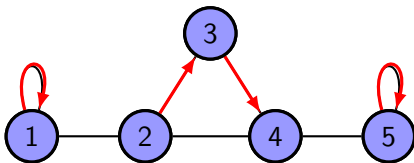
loop  
rule



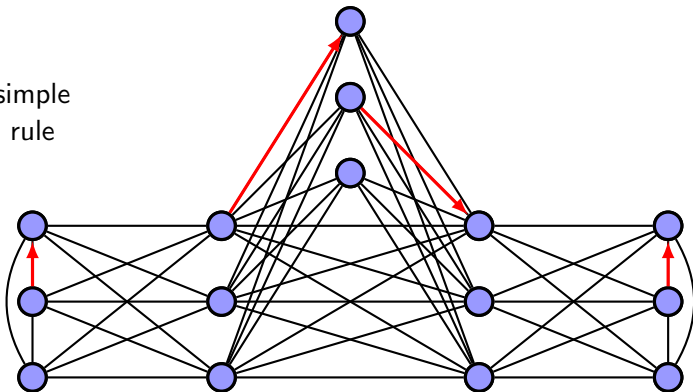
simple  
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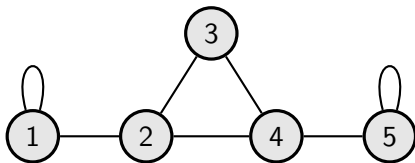
loop  
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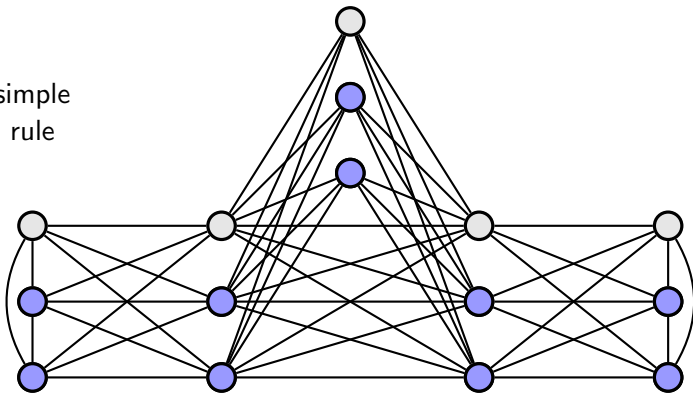
simple  
rule



loop  
rule



simple  
rule



# Zero Forcing vs Blowups Revisit

## Lemma

Let  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$  with  $t_i \geq 2$ . Then  $\widehat{Z}(H) = Z(H) = Z(\mathfrak{G}) + \ell$ , where  $\ell = \sum_{i=1}^n (t_i - 1)$ .

## Corollary

Suppose  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$  with  $t_i \geq 2$  and  $M(\mathfrak{G}) = Z(\mathfrak{G})$ . Then  $M(H) = \widehat{Z}(H) = Z(H) = M(\mathfrak{G}) + \ell$ .

## Proof.

- ▶  $M(H) \leq Z(H)$ .
- ▶  $M(H) \geq M(\mathfrak{G}) + \ell = Z(\mathfrak{G}) + \ell = Z(H)$ .





# Odd Cycle Zero Forcing vs Blowups

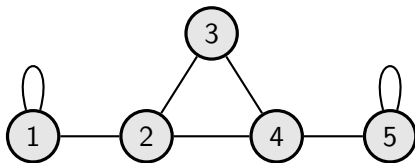
## Lemma

Let  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$  with  $t_i \geq 3$ . Then  $\widehat{Z}_{oc}(H) = Z_{oc}(\mathfrak{G}) + \ell$ , where  $\ell = \sum_{i=1}^n (t_i - 1)$ .

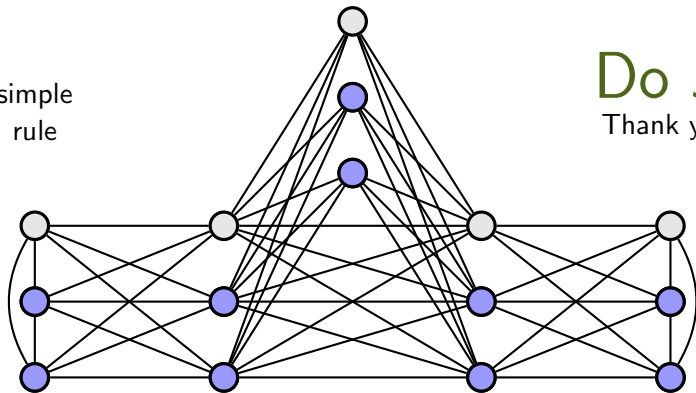
## Corollary

Suppose  $H \xleftarrow{(t_1, t_2, \dots, t_n)} \mathfrak{G}$  with  $t_i \geq 3$  and  $M(\mathfrak{G}) = Z_{oc}(\mathfrak{G})$ . Then  $M(H) = \widehat{Z}_{oc}(H) = M(\mathfrak{G}) + \ell$ .

loop  
rule





simple  
rule



Do Je  
Thank you.

# References I

-  AIM Minimum Rank – Special Graphs Work Group (F. Barioli, W. Barrett, S. Butler, S. M. Cioabă, D. Cvetković, S. M. Fallat, C. Godsil, W. Haemers, L. Hogben, R. Mikkelsen, S. Narayan, O. Pryporova, I. Sciriha, W. So, D. Stevanović, H. van der Holst, K. Vander Meulen, and A. Wangsness). Zero forcing sets and the minimum rank of graphs. [Linear Algebra Appl.](#), 428:1628–1648, 2008.
-  L. Hogben. Minimum rank problems. [Linear Algebra Appl.](#), 432:1961–1974, 2010.